# Symbolic Algorithms for Infinite-State Games\*

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**Abstract.** A procedure for the analysis of state spaces is called *symbolic* if it manipulates not individual states, but sets of states that are represented by constraints. Such a procedure can be used for the analysis of *infinite* state spaces, provided termination is guaranteed. We present symbolic procedures, and corresponding termination criteria, for the solution of *infinite-state games*, which occur in the control and modular verification of infinite-state systems. To characterize the termination of symbolic procedures for solving infinite-state games, we classify these game structures into four increasingly restrictive categories:

- 1. Class 1 consists of infinite-state structures for which all safety and reachability games can be solved.
- 2. Class 2 consists of infinite-state structures for which all  $\omega\text{-regular}$  games can be solved.
- 3. Class 3 consists of infinite-state structures for which all nested positive boolean combinations of  $\omega$ -regular games can be solved.
- 4. Class 4 consists of infinite-state structures for which all nested boolean combinations of  $\omega$ -regular games can be solved.

We give a structural characterization for each class, using *equivalence relations* on the state spaces of games which range from game versions of trace equivalence to a game version of bisimilarity. We provide infinite-state examples for all four classes of games from control problems for *hybrid systems*. We conclude by presenting symbolic algorithms for the *synthesis* of winning strategies ("controller synthesis") for infinitestate games with arbitrary  $\omega$ -regular objectives, and prove termination over all class-2 structures. This settles, in particular, the symbolic controller synthesis problem for rectangular hybrid systems.

# 1 Introduction

While algorithmic methods ("model checking") were originally invented for the analysis of finite-state systems, much recent interest has concerned the application of such methods to *infinite-state* systems. There are two kinds of approaches. Approaches of the first kind reduce an infinite-state system to an "equivalent" finite-state system, and then explore the resulting finite state space (e.g., the region-graph method for timed automata [2]). We call these approaches *reductionist*. Approaches of the second kind explore the infinite state space directly, by

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manipulating constraints that may represent infinite state sets (e.g., the clockzone method for timed automata [12]). We call these approaches *symbolic*. While perhaps optimal in theoretical complexity, reductionist approaches usually experience state explosion, and are typically outperformed in practice by symbolic approaches. In fact, the state-space partition induced by the execution of a symbolic method is often much coarser than the partition corresponding to the "equivalent" finite-state system. As they operate on infinite sets of states and constraints, the main concern with symbolic approaches is *termination*. We refer to procedures that may or may not terminate as *semi-algorithms*.

The control and modular verification of systems can be studied as games played on state spaces, where the players represent controller vs. plant, or individual processes (see, e.g., [3]). The control and modular verification of infinitestate systems, accordingly, give rise to infinite-state games. In this paper, we present symbolic semi-algorithms for solving two-player concurrent games on infinite state spaces, and for synthesizing the corresponding winning strategies. A concurrent game is played in rounds. In each round, both players simultaneously and independently choose moves, and the choice of moves determines a set of possible next states (games in which the players take turns are a special case [3]). We consider  $\omega$ -regular as well as nested winning conditions, such as "Player 1 has a strategy to reach an observable p from which player 2 cannot reach an observable q." We establish a set of criteria for the termination of the semi-algorithms, leading to a classification of infinite-state games.

Symbolic methods for games are based on the *controllable precondition* operator  $CPre_i$ , for i = 1, 2 [3]: for a set  $\sigma$  of states,  $CPre_i(\sigma)$  contains those states from which player i can force the game into  $\sigma$  in a single round by choosing an appropriate move. We show that termination of  $CPre_i$ -based semi-algorithms can be studied by reasoning about various equivalence relations on the states of an infinite game structure, ranging from two-player versions of trace equivalence to a two-player version of bisimilarity. First, we argue that the semi-algorithms for solving games with specific winning conditions can be seen as instances of generic closure semi-algorithms, which refine a partition of the state space by applying the  $CPre_i$  operators together with various boolean operators (such as set union, intersection, and difference). Hence, if the closure semi-algorithm terminates, so do the semi-algorithms for solving the corresponding games. Second, we show that the closure semi-algorithms terminate exactly when certain equivalence relations on the infinite state space have finite index. Thus, to obtain symbolic decision procedures for infinite-state games, it suffices that the corresponding equivalence relations have finite index.

Accordingly, we propose a classification of infinite-state game structures, depending on which equivalence relations have finite index. The classification parallels the classification of infinite-state transition systems presented in [11]. The first class of infinite-state game structures are those with finite *i-bounded-reach* equivalence quotients, for i = 1, 2: two states are *i*-bounded-reach equivalent if from either state, player *i* can force the game to the same observables in the same number of rounds. On these infinite-state structures, we can symbolically solve

games with safety and reachability objectives, by iterating  $CPre_i$  a finite number of times. Game structures of the second class have finite *i*-trace equivalence quotients: state s is *i*-trace contained by state t if for every player-*i* strategy from s, there is a player-i strategy from t such that every possible outcome of the game (i.e., sequence of observables) from t is also a possible outcome from s (this is the player-i alternating trace containment of [4]). On these infinite-state structures, we can symbolically solve all games with  $\omega$ -regular winning conditions, by appropriately iterating  $CPre_i$ , set union, and restricted intersection with observables. Game structures of the third class have finite *i*-similarity (or alternating similarity [4]) quotients, which permits symbolic model checking for all negation-free properties of the game calculus, a fixpoint logic with  $CPre_i$ , union, and (unrestricted) intersection operators. Finally, the fourth class contains the game structures with finite *i*-bisimilarity (or alternating bisimilarity [4]) quotients. They permit symbolic model checking for the full game calculus (with negation). Examples of infinite-state games from all four classes can be drawn from real-time and hybrid systems: networks of timed games, rectangular games [10], 2D rectangular games, and timed games [15] fall into the classes 1 to 4, in that order.

The termination criteria for solving games are insufficient if we wish to synthesize the corresponding winning strategies, which is important in control applications [18]. This is because for different states in  $CPre_i(\sigma)$ , player *i* may have to choose different moves to force the game into  $\sigma$ . However, if the set of possible moves is finite, then this problem can be overcome. We show how winning strategies can be synthesized symbolically over all class-2 game structures (finite *i*-trace equivalence) for all  $\omega$ -regular winning conditions. Previously, symbolic infinite-state controller synthesis has been solved only for the special case of *timed games* [15], which fall into the more restrictive class 4 (finite *i*-bisimilarity). In particular, as an instance of our results, we obtain symbolic algorithms also for the control and controller synthesis of *rectangular hybrid systems*, a problem that was left open in [10] (where a reductionist solution is given). These symbolic algorithms can be executed directly by symbolic model checkers for hybrid systems, such as HYTECH [9].

# 2 Symbolic Game Structures

A (two-player) game structure<sup>1</sup>  $G = (S, A, \Gamma_1, \Gamma_2, \delta, P, \ulcorner \lor \urcorner)$  consists of a (possibly infinite) set S of states, a finite set A of actions, two action assignments  $\Gamma_1, \Gamma_2$ :  $S \to 2^A \setminus \emptyset$  which define for each state nonempty sets of actions available to player 1 and player 2, a partial transition function  $\delta : S \times A \times A \to S$  which associates with each state s and each pair of actions  $a_1 \in \Gamma_1(s)$  and  $a_2 \in \Gamma_2(s)$ a successor state, a finite set P of observables, and an observation function  $\ulcorner \cdot \urcorner : P \to 2^S$  which associates with each observable a set of states. We require that for each observable  $p \in P$ , there is a complementary observable  $\overline{p} \in P$ such that  $\ulcorner \overline{p} \urcorner = S \backslash \ulcorner p \urcorner$ . Intuitively, at state s, player 1 chooses an action  $a_1$ 

<sup>&</sup>lt;sup>1</sup> The multiple-player case is an immediate generalization.

from  $\Gamma_1(s)$  and, simultaneously and independently, player 2 chooses an action  $a_2$  from  $\Gamma_2(s)$ . Then, the game proceeds to  $\delta(s, a_1, a_2)$ .

Given two states  $s, t \in S$  and actions  $a_1 \in \Gamma_1(s)$  and  $a_2 \in \Gamma_2(s)$ , the state  $\delta(s, a_1, a_2)$  is called the  $(a_1, a_2)$ -successor of s. A source-s run of the game structure G is an infinite sequence  $s_0(a_0, b_0)s_1(a_1, b_1)s_2\ldots$  of alternating states and action pairs such that  $s_0 = s$  and for all  $j \ge 0$ , the state  $s_{j+1}$  is the  $(a_j, b_j)$ -successor of  $s_j$ . A source-s trace of G is an infinite sequence  $P_0P_1P_2\ldots$  of sets of observables for which there is a source-s run  $s_0(a_0, b_0)s_1(a_1, b_1)\ldots$  such that  $P_j = \{p \in P \mid s_j \in \lceil p \rceil\}$  for all  $j \ge 0$ . A strategy of player i, for i = 1, 2, is a function  $f_i: S^+ \to 2^A$  such that  $\emptyset \subsetneq f_i(w \cdot s) \subseteq \Gamma_i(s)$  for every state sequence  $w \in S^*$  and every state  $s \in S$ . Let  $f_1$  and  $f_2$  be a pair of strategies for player 1 and player 2. The outcome  $\mathcal{L}_{f_1,f_2}(s)$  from state  $s \in S$  of strategies  $f_1$  and  $f_2$  is a subset of the source-s runs of G: a run  $s_0(a_0, b_0)s_1(a_1, b_1)s_2\ldots$  belongs to  $\mathcal{L}_{f_1,f_2}(s)$  if  $s_0 = s$  and for all  $j \ge 0$ , we have  $a_j \in f_1(s_0s_1\cdots s_j)$  and  $b_j \in f_2(s_0s_1\cdots s_j)$  and  $s_{j+1} = \delta(s_j, a_j, b_j)$ . We write  $L_{f_1,f_2}(s)$  for the set of source-s traces that correspond to runs in  $\mathcal{L}_{f_1,f_2}(s)$ .

### 2.1 Region algebras for game structures

A symbolic theory for the game structure G consists of a (possibly infinite) set R of regions together with a function  $\neg : R \to 2^S$  which maps each region  $\sigma$  to the (possibly infinite) set of states represented by  $\sigma$ , such that the following four conditions hold:

- 1. Each observable is a region; that is,  $P \subseteq R$ . Furthermore, the function  $\lceil \cdot \rceil$  agrees on P with the definition of G. There are regions  $True, False \in R$  such that  $\lceil True \rceil = S$  and  $\lceil False \rceil = \emptyset$ .
- 2. For each pair  $\sigma, \tau \in R$  of regions, there are regions  $And(\sigma, \tau) \in R$ ,  $Or(\sigma, \tau) \in R$ , and  $Diff(\sigma, \tau) \in R$  such that  $\lceil And(\sigma, \tau) \rceil = \lceil \sigma \rceil \cap \lceil \tau \rceil, \lceil Or(\sigma, \tau) \rceil = \lceil \sigma \rceil \cup \lceil \tau \rceil$ , and  $\lceil Diff(\sigma, \tau) \rceil = \lceil \sigma \rceil \setminus \lceil \tau \rceil$ . Furthermore, the functions  $And, Or, Diff: R \times R \to R$  are computable.
- 3. For each region  $\sigma \in R$  and each pair  $a, b \in A$  of actions, there is a region  $Pre^{a,b}(\sigma) \in R$  such that  $\lceil Pre^{a,b}(\sigma) \rceil = \{s \in S \mid a \in \Gamma_1(s) \text{ and } b \in \Gamma_2(s) \text{ and } \delta(s, a, b) \in \lceil \sigma \rceil\}$ . Furthermore, the function  $Pre: R \times A \times A \rightarrow R$  is computable. Using boolean operations and Pre, we can compute the functions  $CPre_I: R \rightarrow R$  on regions, for  $I = 1, 2, \{1, 2\}$ , such that
  - $\lceil CPre_1(\sigma) \rceil = \{ s \in S \mid \exists a \in \Gamma_1(s). \forall b \in \Gamma_2(s). \delta(s, a, b) \in \lceil \sigma \rceil \};$

  - $\label{eq:constraint} \ulcorner CPre_{\{1,2\}}(\sigma) \urcorner \ = \ \{s \in S \mid \exists a \in \varGamma_1(s). \ \exists b \in \varGamma_2(s). \ \delta(s,a,b) \in \ulcorner \sigma \urcorner \}.$

In particular, the region  $CPre_1(\sigma)$  represents the states from which player 1 can force the game in one step into the region  $\sigma$ , no matter which action player 2 chooses. The region  $CPre_{\{1,2\}}(\sigma)$  represents the states from which the two players can collaborate to force the game in one step into  $\sigma$ .

4. All emptiness and membership questions about regions can be decided; that is, there are computable functions  $Empty: R \to \mathbb{B}$  and  $Member: S \times R \to \mathbb{B}$  such that (a)  $Empty(\sigma)$  iff  $\lceil \sigma \rceil = \emptyset$ , and (b)  $Member(s, \sigma)$  iff  $s \in \lceil \sigma \rceil$ .

The tuple (R, P, And, Or, Diff, Pre, Empty) is called a region algebra for G. A symbolic semi-algorithm on game structures takes as input a region algebra for a game structure G and generates, starting from the observables P and constants True, False, regions in R by repeatedly applying the operations And, Or, Diff, Pre, and Empty.

*Example 1.* Consider the symbolic semi-algorithm  $\mathsf{Reach}_1$ :

$$T_0 := p$$
; for  $j = 0, 1, 2, ...$  do  $T_{j+1} := Or(T_j, CPre_1(T_j))$  until  $T_{j+1} \subseteq T_j$ 

which computes, for an observable  $p \in P$ , the region  $CPre_1^*(p)$  of states from which player 1 can force the game in some number of steps into a *p*-state. The termination test  $T \subseteq T'$  is decided by checking that Empty(And(T, Diff(True, T'))). While each individual operation is computable, depending on G, the iteration of operations may or may not terminate.  $\Box$ 

#### 2.2 Equivalences on game structures

**State equivalences.** A state equivalence  $\cong$  is a family of relations which contains for each game structure G an equivalence relation  $\cong_G$  on the states of G. The  $\cong$ -equivalence problem for a class  $\mathsf{C}$  of game structures asks, given two states s and t of a game structure G from the class  $\mathsf{C}$ , whether  $s \cong_G t$ . The state equivalence  $\cong$  is as coarse as the state equivalence  $\cong'$  if  $s \cong_G t$  implies  $s \cong'_G t$  for all game structures G. The equivalence  $\cong$  is coarser than  $\cong'$  if  $\cong$  is as coarse as  $\cong'$ , but  $\cong'$  is not as coarse as  $\cong$ . Given a game structure  $G = (S, A, \Gamma_1, \Gamma_2, \delta, P, \ulcorner \urcorner)$  and a state equivalence  $\cong$ , the quotient structure is the game structure  $G/\cong = (S/\cong, A, \Gamma_1, \Gamma_2, \delta/\cong, P, \ulcorner \lor \urcorner)$ , where  $G/\cong$  is the set of equivalence classes of  $\cong_G$ , and  $\tau \in \delta/\cong(\sigma, a_1, a_2)$  if there is a state  $s \in \sigma$  such that  $s \in \ulcorner p \urcorner$ . The quotient construction is of particular interest to us when it transforms an infinite-state structure G into a finite-state structure  $G/\cong$ .

**Simulation-based equivalences.** A binary relation  $\preceq \subseteq S \times S$  is a *1-simulation*<sup>2</sup> if  $s \preceq t$  implies the following two conditions:

- (1) For each observable  $p \in P$ , if  $s \in \lceil p \rceil$ , then  $t \in \lceil p \rceil$ .
- (2.1) For each  $a_1 \in \Gamma_1(s)$ , there is  $a_2 \in \Gamma_1(t)$  such that for all  $b_2 \in \Gamma_2(t)$ there is  $b_1 \in \Gamma_2(s)$  with  $\delta(s, a_1, b_1) \preceq \delta(t, a_2, b_2)$ .

By exchanging the subscripts 1 and 2 in condition (2.1), we obtain condition (2.2). The relation  $\leq$  is a 2-simulation if  $s \leq t$  implies the dual conditions (1) and (2.2). The relation  $\leq$  is a  $\{1, 2\}$ -simulation if  $s \leq t$  implies all three conditions (1), (2.1), and (2.2). For  $I = 1, 2, \{1, 2\}$ , the state s is I-simulated by t, in symbols  $s \leq_I^S t$ , if there is an I-simulation  $\leq$  such that  $s \leq t$ . We write  $s \cong_I^S t$  if both  $s \leq_I^S t$  and  $t \leq_I^S s$ . The state equivalence  $\cong_I^S$  is called I-similarity. We note that two states may be both 1-similar and 2-similar, but not  $\{1, 2\}$ -similar (see Figure 1). A binary relation  $\cong \subseteq S \times S$  is an I-bisimulation if  $\cong$  is a symmetric



**Fig. 1.** The states s and t are both 1-similar and 2-similar (hence 1-trace and 2-trace equivalent), but not equivalent with respect to all  $DG\mu$  formulas (hence not  $\{1, 2\}$ -similar).

*I*-simulation. We define  $s \cong_{I}^{B} t$  if there is an *I*-bisimulation  $\cong$  such that  $s \cong t$ . The state equivalence  $\cong_{I}^{B}$  is called *I*-bisimilarity.

**Trace-based equivalences.** A binary relation  $\leq \subseteq S \times S$  is a 1-trace containment<sup>3</sup> if  $s \leq t$  implies that for all strategies  $f_1$  of player 1, there exists a strategy  $g_1$  of player 1 such that for all strategies  $g_2$  of player 2, there exists a strategy  $f_2$  of player 2 such that

(3)  $L_{g_1,g_2}(t) \subseteq L_{f_1,f_2}(s).$ 

Given a trace  $\xi = P_0 P_1 P_2 \dots$  and an observation  $p \in P$ , let  $bnd(\xi, p)$  be the smallest  $j \geq 0$  such that  $p \in P_j$ , and undefined if no such j exists. The relation  $\preceq$  is a 1-bounded-reach containment if condition (3) is replaced by

(4) for every trace  $\xi \in L_{g_1,g_2}(t)$  and observation  $p \in P$ , if  $bnd(\xi, p)$  is defined, then there is a trace  $\xi' \in L_{f_1,f_2}(s)$  with  $bnd(\xi', p) = bnd(\xi, p)$ .

We define  $s \preceq_1^L t$  (respectively,  $s \preceq_1^R t$ ) if there is a 1-trace containment (respectively, 1-bounded-reach containment)  $\preceq$  such that  $s \preceq t$ . We write  $s \cong_1^L t$  if both  $s \preceq_1^L t$  and  $t \preceq_1^L s$ , and  $s \cong_1^R t$  if both  $s \preceq_1^R t$  and  $t \preceq_1^R s$ . The state equivalences  $\cong_1^L$  and  $\cong_1^R$  are called 1-trace equivalence and 1-bounded-reach equivalence, respectively. The 1-bounded-reach equivalence characterizes termination of reachability questions on a game structure: it can be shown that the symbolic semi-algorithm Reach<sub>1</sub> terminates on a region algebra of G for all observables  $p \in P$  iff the 1-bounded-reach equivalence of G has finite index.

The expected relationships between these state equivalences hold. For example, 1-bounded-reach equivalence is coarser than 1-trace equivalence, which is coarser than 1-similarity, which is coarser than 1-bisimilarity [4]. Also, standard trace equivalence (respectively, similarity; bisimilarity), as interpreted on the transition structure that underlies G, is coarser than 1-trace equivalence (respectively, 1-similarity; 1-bisimilarity) [4].

<sup>&</sup>lt;sup>2</sup> This is the *alternating simulation* of [4].

<sup>&</sup>lt;sup>3</sup> This is the alternating trace containment of [4].

#### 2.3 Fixpoint calculi for game structures

**State logics.** A state logic  $\Phi$  is a logic whose formulas are interpreted over the states of game structures; that is, for every  $\Phi$ -formula  $\varphi$  and every game structure G, there is a set  $[\![\varphi]\!]_G$  of states of G which satisfy  $\varphi$ . The  $\Phi$  modelchecking problem for a class C of game structures asks, given a  $\Phi$ -formula  $\varphi$ and a state s of a game structure G from the class C, whether  $s \in [\![\varphi]\!]_G$ . Two formulas  $\varphi$  and  $\psi$  of state logics are *equivalent* if  $[\![\varphi]\!]_G = [\![\psi]\!]_G$  for all game structures G. The state logic  $\Phi$  is as expressive as the state logic  $\Phi'$  if for every  $\Phi'$ -formula  $\varphi$ , there is a  $\Phi$ -formula  $\psi$  which is equivalent to  $\varphi$ . The logic  $\Phi$  is more expressive than  $\Phi'$  if  $\Phi$  is as expressive as  $\Phi'$ , but  $\Phi'$  is not as expressive as  $\Phi$ . Every state logic  $\Phi$  induces a state equivalence, denoted  $\cong^{\Phi}$ : for all states s and t of a game structure G, define  $s \cong^{\Phi} t$  if for all  $\Phi$ -formulas  $\varphi$ , we have  $s \in \llbracket \varphi \rrbracket_G$  iff  $t \in \llbracket \varphi \rrbracket_G$ . The state logic  $\Phi$  admits abstraction if for every  $\Phi$ -formula  $\varphi$  and every game structure G, we have  $\llbracket \varphi \rrbracket_G = \bigcup \{ \sigma \mid \sigma \in \llbracket \varphi \rrbracket_{G/\sim \phi} \}$ ; that is, a state s of G satisfies an  $\Phi$ -formula  $\varphi$  iff the  $\cong^{\Phi}$  equivalence class of s satisfies  $\varphi$  in the quotient structure. Consequently, if  $\Phi$  admits abstraction, then every  $\Phi$  model-checking question on a game structure G can be reduced to an  $\Phi$  model-checking question on the induced quotient structure  $G_{\cong^{\varphi}}$ . Below, we shall repeatedly prove the  $\Phi$  model-checking problem for a class C to be decidable by observing that for every game structure G from C, the quotient structure  $G/_{\cong^{\Phi}}$  has finitely many states and can be constructed effectively.

Example 2. Given an observation  $p \in P$ , let  $\Diamond p$  be the set of traces  $\xi$  such that  $bnd(\xi, p)$  is defined; that is, p occurs in  $\xi$ . The controllability formula  $\langle\!\langle 1 \rangle\!\rangle \diamond p$  is true at the states from which player 1 has a strategy to control the game to reach a p-state; that is, there is a strategy  $f_1$  of player 1 such that for all strategies  $f_2$  of player 2, we have  $L_{f_1,f_2}(s) \subseteq \Diamond p$ . Both safety and reachability control problems can be expressed as boolean combinations of controllability formulas. The semi-algorithm Reach<sub>1</sub> of Example 1 provides a symbolic model-checking procedure for controllability formulas. From the characterization of Section 2.2 we conclude that the model-checking problem for controllability formulas is decidable for all game structures that have symbolic theories and 1-bounded-reach equivalences with finite index. An example of infinite-state game structures with symbolic theories and finite 1-bounded-reach equivalences are networks of timed games, a two-player version of networks of timed automata [1].

Game calculus. The *formulas* are generated by the grammar

$$\varphi ::= p \mid \neg p \mid x \mid \varphi \lor \varphi \mid \varphi \land \varphi \mid \langle \! \langle I \rangle \! \rangle \bigcirc \varphi \mid \llbracket I \rrbracket \bigcirc \varphi \mid (\mu x \colon \varphi) \mid (\nu x \colon \varphi),$$

for constants p from some set  $\Pi$ , variables x from some set X, and teams  $I = 1, 2, \{1, 2\}$ . Let  $G = (S, A, \Gamma_1, \Gamma_2, \delta, P, \ulcorner, \urcorner)$  be a game structure whose observables include all constants; that is,  $\Pi \subseteq P$ . Let  $\mathcal{E} : X \to 2^S$  be a mapping from the variables to sets of states. We write  $\mathcal{E}[x \mapsto \rho]$  for the mapping that agrees with  $\mathcal{E}$  on all variables, except that  $x \in X$  is mapped to  $\rho \subseteq S$ . Given G and  $\mathcal{E}$ , every formula  $\varphi$  defines a set  $\llbracket \varphi \rrbracket_{G, \mathcal{E}} \subseteq S$  of states:

$$\begin{split} & \llbracket p \rrbracket_{G,\mathcal{E}} = \ulcorner p \urcorner; \\ & \llbracket \neg p \rrbracket_{G,\mathcal{E}} = \ulcorner p \urcorner; \\ & \llbracket w \rrbracket_{G,\mathcal{E}} = \mathcal{E}(x); \\ & \llbracket \varphi_1 \{ \bigvee_{\wedge} \} \varphi_2 \rrbracket_{G,\mathcal{E}} = \llbracket \varphi_1 \rrbracket_{G,\mathcal{E}} \{ \bigvee_{\cap} \} \llbracket \varphi_2 \rrbracket_{G,\mathcal{E}}; \\ & \llbracket \{ \llbracket_{11}^{\langle 1 \rangle} \} \bigcirc \varphi \rrbracket_{G,\mathcal{E}} = \{ s \in S \mid \{ \exists a \in \Gamma_1(s) . \forall b \in \Gamma_2(s) . \\ \forall a \in \Gamma_1(s) . \exists b \in \Gamma_2(s) . \} \delta(s, a, b) \in \llbracket \varphi \rrbracket_{G,\mathcal{E}} \}; \\ & \llbracket \{ \bigvee_{\Pi_1,2 \lor} \} \bigcirc \varphi \rrbracket_{G,\mathcal{E}} = \{ s \in S \mid \{ \exists a \in \Gamma_1(s) . \forall b \in \Gamma_2(s) . \\ \forall a \in \Gamma_1(s) . \exists b \in \Gamma_2(s) . \} \delta(s, a, b) \in \llbracket \varphi \rrbracket_{G,\mathcal{E}} \}; \\ & \llbracket \{ \bigvee_{\Pi_1,2 \lor} \} \bigcirc \varphi \rrbracket_{G,\mathcal{E}} = \{ s \in S \mid \{ \exists a \in \Gamma_1(s) . \forall b \in \Gamma_2(s) . \\ \forall a \in \Gamma_1(s) . \forall b \in \Gamma_2(s) . \} \delta(s, a, b) \in \llbracket \varphi \rrbracket_{G,\mathcal{E}} \}; \\ & \llbracket \{ \bigvee_{\Pi_1} \} : \varphi \rrbracket_{G,\mathcal{E}} = \{ \bigcap_{\Pi_1} \} \{ \rho \subseteq S \mid \rho = \llbracket \varphi \rrbracket_{G,\mathcal{E}[x \mapsto \rho]} \}. \end{split}$$

Note that the team operator  $\langle\!\langle 1,2\rangle\!\rangle\!\rangle$  corresponds to the existential next operator  $\exists \bigcirc$ , as interpreted on the transition structure that underlies G. If we restrict ourselves to the closed formulas, then we obtain a state logic, called *game calculus*<sup>4</sup> and denoted  $G\mu$ : define  $[\![\varphi]\!]_G$  as  $[\![\varphi]\!]_{G,\mathcal{E}}$  for any  $\mathcal{E}$ . The player-1 fragment of  $G\mu$ , which restricts all teams to I = 1, is called the *1-game calculus* and denoted 1- $G\mu$ . The fragment  $\{1,2\}$ - $G\mu$ , which restricts all teams to  $I = \{1,2\}$ , is the standard  $\mu$ -calculus [14].

**Proposition 1.** The state equivalence induced by  $G\mu$  (respectively, 1- $G\mu$ ) is  $\{1, 2\}$ -bisimilarity (respectively, 1-bisimilarity).

It can be shown that the game calculus  $G\mu$  admits abstraction. The definition of  $G\mu$  naturally suggests a model-checking method over finite-state game structures, where each fixpoint can be computed by successive approximation. The symbolic semi-algorithm ModelCheck of Figure 2 applies this method to infinite-state game structures. Suppose that the input given to ModelCheck is the region algebra of a game structure G, the  $G\mu$ -formula  $\varphi$ , and any mapping  $E: X \to 2^R$  from the variables to sets of regions. Then for each recursive call of ModelCheck, each  $T_j$ , for  $j \ge 0$ , is a region from R, and each recursive call returns a region from R. Furthermore, if it terminates, then ModelCheck returns a region  $[\varphi]_E$  such that  $[\varphi]_E = [\![\varphi]\!]_{G,\mathcal{E}}$ , where  $\mathcal{E}(x) = \bigcup \{ \ulcorner \sigma \urcorner | \sigma \in E(x) \}$  for all  $x \in X$ . In particular, if  $\varphi$  is closed, then a state s of G satisfies  $\varphi$  iff Member $(s, [\varphi]_E)$ .

Negation-free game calculus. The formulas of the *negation-free game calculus*, denoted NG $\mu$ , are the boolean combinations of G $\mu$ -formulas generated by the grammar

 $\varphi \ ::= \ p \mid \neg p \mid x \mid \varphi \lor \varphi \mid \varphi \land \varphi \mid \langle \! \langle I \rangle \! \rangle \bigcirc \varphi \mid (\mu x \colon \varphi) \mid (\nu x \colon \varphi).$ 

No negations are permitted in the scope of team operators, all of which have the form  $\langle\!\langle I \rangle\!\rangle$ , except in front of observables. Consequently, team operators can be nested only if they have the same force (either  $\langle\!\langle \rangle\!\rangle$  or [] force). The player-1 fragment of NG $\mu$ , which restricts all teams to I = 1, is called the *negation-free 1-game calculus* and denoted 1-NG $\mu$ . The fragment {1,2}-NG $\mu$ , which restricts all teams to  $I = \{1,2\}$ , is equivalent to the boolean combinations of existential and universal  $\mu$ -calculus formulas, which include  $\exists$ CTL and  $\forall$ CTL.

**Proposition 2.** The state equivalence induced by NG $\mu$  (respectively, 1-NG $\mu$ ) is  $\{1, 2\}$ -similarity (respectively, 1-similarity).

<sup>&</sup>lt;sup>4</sup> This is the alternating-time  $\mu$ -calculus of [3].

#### Symbolic semi-algorithm ModelCheck

Input: a region algebra (R, P, And, Or, Diff, Pre, Empty), a formula  $\varphi \in G\mu$ , and a mapping E with domain X.

Output:  $[\varphi]_E :=$ if  $\varphi = p$  then return p; if  $\varphi = \neg p$  then return  $\overline{p}$ ; if  $\varphi = x$  then return E(x); if  $\varphi = (\varphi_1 \vee \varphi_2)$  then return  $Or([\varphi_1]_E, [\varphi_2]_E);$ if  $\varphi = (\varphi_1 \land \varphi_2)$  then return  $And([\varphi_1]_E, [\varphi_2]_E)$ if  $\varphi = \langle\!\langle I \rangle\!\rangle \bigcirc \varphi'$  then return  $CPre_I([\varphi']_E);$ if  $\varphi = \llbracket I \rrbracket \bigcirc \varphi'$  then return  $Diff(True, CPre_I(Diff(True, [\varphi']_E)));$ if  $\varphi = (\mu x \colon \varphi')$  then  $T_0 := False;$ for j = 0, 1, 2, ... do  $T_{j+1} := [\varphi']_{E[x \mapsto T_j]}$  until  $T_{j+1} \subseteq T_j;$ return  $T_i$ ; if  $\varphi = (\nu x \colon \varphi')$  then  $T_0 := True;$ for j = 0, 1, 2, ... do  $T_{j+1} := [\varphi']_{E[x \mapsto T_j]}$  until  $T_{j+1} \supseteq T_j$ ; return  $T_i$ .

Fig. 2. Model checking.

**Deterministic game calculus.** The formulas of the *deterministic game calculus*, denoted  $DG\mu$ , are the boolean combinations of  $G\mu$ -formulas generated by the grammar

 $\varphi ::= p |\neg p | x | \varphi \lor \varphi | p \land \varphi | \langle \langle I \rangle \rangle \bigcirc \varphi | (\mu x : \varphi) | (\nu x : \varphi).$ 

Note that in deterministic formulas, one argument of each conjunction is an observable. The player-1 fragment of DG $\mu$ , which restricts all teams to I = 1, is called the *deterministic 1-game calculus* and denoted 1-DG $\mu$ . The fragment  $\{1, 2\}$ -DG $\mu$ , which restricts all teams to  $I = \{1, 2\}$ , corresponds to the boolean combinations of existential and universal  $\omega$ -regular trace properties [11], which include LTL. We can characterize the expressive power of 1-DG $\mu$  similarly. Let 1-G $\omega$  be the state logic that consists of all formulas of the form  $\langle\!\langle 1 \rangle\!\rangle K$ , where K is an  $\omega$ -regular expression with constants from  $\Pi$  [19]. We identify K with the set of infinite words over the alphabet  $2^{\Pi}$  that satisfy K. Let G be a game structure whose observables contain  $\Pi$ . A state s of G is in  $[[\langle\!\langle 1 \rangle\!\rangle K]]_G$  if player 1 has a strategy  $f_1$  such that for all strategies  $f_2$  of player 2, we have  $L_{f_1,f_2}(s) \subseteq K$ . Given a formula  $\varphi$  of 1-DG $\mu$ , we can inductively construct an  $\omega$ -regular expression  $K_{\varphi}$  such that  $\langle\!\langle 1 \rangle\!\rangle K_{\varphi}$  and  $\varphi$  are equivalent [8]. In Section 4, we will show conversely that every 1-G $\omega$  formula can be translated into an equivalent formula of 1-DG $\mu$ .

**Theorem 1.** The state logics 1-DG $\mu$  and 1-G $\omega$  are equally expressive.

**Corollary 1.** The state equivalence induced by 1-DG $\mu$  is 1-trace equivalence.

It is an open problem to characterize the state equivalence induced by the full deterministic game calculus  $DG\mu$ , which is strictly finer than the intersection of  $\cong_1^L$  and  $\cong_2^L$  (see Figure 1).

### 3 Three Symbolic Semi-algorithms on Game Structures

We define three closure semi-algorithms, and we characterize their termination in terms of three state equivalences. The three closure semi-algorithms compute regions that are also computed by the symbolic semi-algorithm ModelCheck on certain inputs. Thus, the closure semi-algorithms enable us to study the termination of ModelCheck on classes of input structures and input formulas. They enable us to separate termination concerns from partial-correctness concerns, such as the solution of LTL games using ModelCheck. In particular, partial-correctness arguments can often follow the corresponding proofs for finite-state games.

### 3.1 Observation refinement

The symbolic semi-algorithm OR, called *observation refinement*, on a region algebra starts from the finite set  $T_0 := P$  of observables and generates inductively the finite sets of regions

$$T_{j+1} = T_j \cup \{ CPre_1(\sigma), CPre_2(\sigma), CPre_{\{1,2\}}(\sigma) \mid \sigma \in T_j \} \\ \cup \{ Or(\sigma, \tau) \mid \sigma, \tau \in T_j \} \cup \{ And(\sigma, p) \mid \sigma \in T_j \text{ and } p \in P \}$$

for  $j \geq 0$ . Note that OR applies only a restricted form of the And operation: one argument is always an observable. Let  $\lceil T \rceil$  denote the set  $\{\lceil \sigma \rceil \mid \sigma \in T\}$ . The semi-algorithm OR terminates iff there is a j such that  $\lceil T_{j+1} \rceil \subseteq \lceil T_j \rceil$ . Termination can be decided as follows: for each region  $\sigma \in T_{j+1}$  we check that there is a region  $\tau \in T_j$  such that both  $Empty(Diff(\sigma,\tau))$  and  $Empty(Diff(\tau,\sigma))$ . The symbolic semi-algorithm OR<sub>1</sub> closes P under the operations  $CPre_1$ , union, and intersection with observables (but not under  $CPre_2$  and  $CPre_{\{1,2\}}$ ). If we close P under  $CPre_{\{1,2\}}$  and intersection with observables, then the result characterizes trace equivalence on the underlying transition structure [11]. Suppose that the input given to OR<sub>1</sub> is the region algebra of a game structure G. It can be seen by induction that for all  $j \geq 0$ , every region in  $T_j$ , as computed by OR<sub>1</sub>, represents a  $\cong_G^{1-\text{DG}\mu}$ -block (i.e., a union of equivalence classes). Thus, if  $\cong_G^{1-\text{DG}\mu}$  has finite index, then OR<sub>1</sub> terminates. Conversely, suppose that OR<sub>1</sub> terminates with  $\lceil T_{j+1} \rceil \subseteq \lceil T_j \rceil$ . It can be shown that if two states are not  $\cong_G^{1-\text{DG}\mu}$ -equivalent, then there is a region in  $T_j$  which contains one state but not the other. This implies that  $\cong_G^{1-\text{DG}\mu}$  has finite index.

**Theorem 2.** The symbolic semi-algorithm  $OR_1$  terminates on the region algebra of a game structure G iff the 1-trace equivalence of G has finite index.

All regions generated by the symbolic semi-algorithm ModelCheck for input formulas from 1-DG $\mu$  are also generated by the observation-refinement semi-algorithm OR<sub>1</sub>. Therefore, if OR<sub>1</sub> terminates, so does ModelCheck on inputs from 1-DG $\mu$ .

**Corollary 2.** The model-checking problems for 1-DG $\mu$  and 1-G $\omega$  are decidable on all game structures that have symbolic theories and 1-trace equivalences with finite index.

The rectangular games [10] are a class of infinite-state game structures with symbolic theories and finite 1-trace equivalences. While in [10] rectangular hybrid games are solved by translation to timed games, which is impractical, the results of this section and Section 4 suggest a direct symbolic semi-algorithm for solving rectangular games, which is guaranteed to terminate. Such an algorithm has been implemented in the tool HYTECH.

### 3.2 Intersection refinement

The symbolic semi-algorithm IR, called *intersection refinement*, on a region algebra starts from the finite set  $T_0 := P$  of observables and generates inductively the finite sets of regions

$$\begin{split} T_{j+1} &= T_j \cup \{ CPre_1(\sigma), CPre_2(\sigma), CPre_{\{1,2\}}(\sigma) \mid \sigma \in T_j \} \\ & \cup \{ Or(\sigma, \tau) \mid \sigma, \tau \in T_j \} \cup \{ And(\sigma, \tau) \mid \sigma, \tau \in T_j \} \end{split}$$

for  $j \geq 0$ . The semi-algorithm IR terminates iff there is a j such that  $\lceil T_{j+1} \rceil \subseteq \lceil T_j \rceil$ . The symbolic semi-algorithm IR<sub>1</sub> closes P under the operations  $CPre_1$ , union, and intersection. If we close P under  $CPre_{\{1,2\}}$ , union, and intersection, then the result characterizes similarity on the underlying transition structure [11]. Suppose that the input given to IR<sub>1</sub> is the region algebra of a game structure G. For  $j \geq 0$  and a state s of G, define  $Sim_j(s) = \bigcap\{\lceil \sigma \rceil \mid \sigma \in T_j \text{ and } s \in \lceil \sigma \rceil\}$ , where the set  $T_j$  of regions is computed by IR<sub>1</sub>. By induction it is easy to check that for all  $j \geq 0$ , if t 1-simulates s, then  $t \in Sim_j(s)$ . Thus, every region in  $T_j$  represents a block of the 1-similarity for G. Conversely, suppose that IR<sub>1</sub> terminates with  $\lceil T_{j+1} \rceil \subseteq \lceil T_j \rceil$ . From the definition of 1-simulations, it follows that if  $t \in Sim_j(s)$ , then t 1-simulates s.

**Theorem 3.** The symbolic semi-algorithm  $\mathsf{IR}$  (respectively,  $\mathsf{IR}_1$ ) terminates on the region algebra of a game structure G iff the  $\{1, 2\}$ -similarity (respectively, 1-similarity) of G has finite index.

**Corollary 3.** The model-checking problem for NG $\mu$  (respectively, 1-NG $\mu$ ) is decidable on all game structures that have symbolic theories and  $\{1,2\}$ -similarity (respectively, 1-similarity) equivalences with finite index.

An example of infinite-state game structures with symbolic theories and finite  $\{1, 2\}$ -similarity equivalences are the 2-dimensional rectangular games [10].

#### 3.3 Partition refinement

The symbolic semi-algorithm PR, called *partition refinement*, on a region algebra starts from the finite set  $T_0 := P$  of observables and generates inductively the

finite sets of regions

$$T_{j+1} = T_j \cup \{ CPre_1(\sigma), CPre_2(\sigma), CPre_{\{1,2\}}(\sigma) \mid \sigma \in T_j \} \\ \cup \{ Or(\sigma, \tau) \mid \sigma, \tau \in T_j \} \cup \{ And(\sigma, \tau) \mid \sigma, \tau \in T_j \} \\ \cup \{ Diff(\sigma, \tau) \mid \sigma, \tau \in T_j \}$$

for  $j \geq 0$ . The semi-algorithm PR terminates iff there is a j such that  $\lceil T_{j+1} \rceil \subseteq \lceil T_j \rceil$ . The symbolic semi-algorithm PR<sub>1</sub> closes P under  $CPre_1$  and the boolean operations union, intersection, and set difference. If we close P under  $CPre_{\{1,2\}}$  and all boolean operations, then the result characterizes bisimilarity on the underlying transition structure [5, 13]. The following is shown similar to the analysis of intersection refinement.

**Theorem 4.** The symbolic semi-algorithm PR (respectively,  $PR_1$ ) terminates on the region algebra of a game structure G iff the  $\{1,2\}$ -bisimilarity (respectively, 1-bisimilarity) of G has finite index.

**Corollary 4.** The model-checking problem for  $G\mu$  (respectively, 1- $G\mu$ ) is decidable on all game structures that have symbolic theories and  $\{1,2\}$ -bisimilarity (respectively, 1-bisimilarity) equivalences with finite index.

An example of infinite-state game structures with symbolic theories and finite  $\{1, 2\}$ -bisimilarity equivalences are the *timed games* [15].

### 4 Symbolic Controller Synthesis

We present symbolic semi-algorithms for solving the  $\omega$ -regular control and control synthesis problems, and we provide conditions for the termination of these semi-algorithms. Consider a game structure G and an  $\omega$ -regular expression Kwhose constants are observables of G. Player 1 can control the state s of G w.r.t. K if there exists a strategy  $f_1$  of player 1 such that for every strategy  $f_2$  of player 2, we have  $L_{f_1,f_2}(s) \subseteq K$ . In this case, we say that the strategy  $f_1$  is a control strategy for K from s. The  $\omega$ -regular control problem asks, given G and K, which states of G can be controlled w.r.t. K. The  $\omega$ -regular control synthesis problem asks, in addition, for the construction of the control strategy.

Following [7], we use deterministic Rabin-chain automata (also called parity automata) for encoding the  $\omega$ -regular property K. Deterministic Rabin-chain automata can encode all  $\omega$ -regular properties [17], and they lead to compact  $G\mu$  formulas for solving the corresponding control problems.<sup>5</sup> A Rabin-chain automaton of index n is a tuple  $\mathcal{C} = (Q, Q_0, \Delta, \Psi, \ell, \Omega)$ , where Q is a finite set of states,  $Q_0 \subseteq Q$  is the set of initial states,  $\Delta : Q \to 2^Q$  is the transition relation,  $\Psi$  is the input alphabet,  $\ell : Q \to \Psi$  is a state labeling, and  $\Omega: Q \to \{0, \ldots, n-1\}$  is

<sup>&</sup>lt;sup>5</sup> The solution of the  $\omega$ -regular control problem on game structures requires deterministic  $\omega$ -automata (see, e.g., [19]), whereas nondeterministic (and hence Büchi)  $\omega$ -automata suffice for the  $\omega$ -regular verification problem on the underlying transition structures, as in [11].

the acceptance condition. An execution of  $\mathcal{C}$  on the infinite word  $w_0 w_1 w_2 \ldots \in \Psi^{\omega}$ is an infinite sequence  $e = q_0 q_1 q_2 \ldots$  of states such that  $q_0 \in Q_0$  and for all  $j \geq 0$ , both  $\ell(q_j) = w_j$  and  $q_{j+1} \in \Delta(q_j)$ . Let  $\inf(e)$  denote the set of states that occur infinitely often along e. The execution e is accepting if the maximum index in the set  $\{\Omega(q) \mid q \in \inf(e)\}$  is even. The automaton accepts the input word w if it has an accepting execution on w. The language of  $\mathcal{C}$  is the set  $L(\mathcal{C}) = \{w \in \Psi^{\omega} \mid \mathcal{C} \text{ accepts } w\}$ . The automaton  $\mathcal{C}$  is deterministic and total if (1a) for all states  $q', q'' \in Q_0$ , if  $q' \neq q''$ , then  $\ell(q') \neq \ell(q'')$ ; (1b) for all input letters  $\psi \in \Psi$ , there is a state  $q' \in Q_0$  such that  $\ell(q') = \psi$ ; (2a) for all states  $q \in Q$  and  $q', q'' \in \Delta(q)$ , if  $q' \neq q''$ , then  $\ell(q') \neq \ell(q'')$ ; (2b) for all states  $q \in Q$  and input letters  $\psi \in \Psi$ , there is a state  $q' \in \Delta(q)$  such that  $\ell(q') = \psi$ . If  $\mathcal{C}$  is deterministic and total, then we write  $\Delta(q, \psi)$  for the unique state  $q' \in \Delta(q)$  with  $\ell(q') = \psi$ .

Let  $G = (S, A, \Gamma_1, \Gamma_2, \delta, P, \neg)$  be a game structure and C=  $(Q, Q_0, \Delta, \Psi, \ell, \Omega)$  a Rabin-chain automaton of index n such that  $\Psi \subseteq 2^P$ . To solve the  $\omega$ -regular control problem for G and C, we first construct a 1-DG $\mu$ formula  $\chi'$  that computes the controllable states of the game structure  $\mathcal{C} \times G$ , obtained by taking the synchronous product between  $\mathcal{C}$  and G. From  $\chi'$ , we construct a 1-DG $\mu$  formula  $\chi$  that solves the  $\omega$ -regular control problem directly on G. The product game structure  $\mathcal{C} \times G = (S', A, \Gamma'_1, \Gamma'_2, \delta', (Q \times P) \cup \{c_0, \ldots, c_{n-1}\},\$  $\lceil \cdot \rceil'$ ) is defined as follows. For a state  $s \in S$ , let  $P_s = \{p \in P \mid s \in \lceil p \rceil\}$  be the set of observables at s. Define  $S' = \{(q, s) \in Q \times S \mid \ell(q) = P_s\}$ , with  $\Gamma'_i(q, s) = \Gamma_i(s)$ for i = 1, 2, and  $\delta'((q, s), a_1, a_2) = (\Delta(q, P_{\delta(s, a_1, a_2)}), \delta(s, a_1, a_2))$ . Furthermore,  $\lceil (q, p) \rceil' = \{(q, s) \mid s \in \lceil p \rceil\}$ , and  $\lceil c_i \rceil' = \{(q, s) \mid \Omega(q) = i\}$  for all  $0 \le i < n$ . Given a symbolic theory for G with the set R of regions, we define a symbolic theory for  $\mathcal{C} \times G$  using as regions all functions of the form  $R': Q \to R$ , with  $\lceil R' \rceil = \bigcup_{q \in Q} \{(q, s) \mid s \in \lceil R'(q) \rceil\}$ . From this representation, it is clear that the operations  $\tilde{C}Pre_I$  for  $I = 1, 2, \{1, 2\}, And, Or, Diff, Empty, and Member are$ computable.

We give the formula  $\chi'$  in equational form; it is straightforward to convert it to a formula of 1-DG $\mu$  by unrolling the equations and binding variables with  $\mu$  or  $\nu$  fixpoints. The formula  $\chi'$  is composed of n blocks  $B'_0, \ldots, B'_{n-1}$ ; block  $B'_0$  is the innermost, and block  $B'_{n-1}$  the outermost. The block  $B'_0$  is a  $\nu$ -block, and consists of the single equation  $x_0 = \bigvee_{j=0}^{n-1} (c_j \land \langle \langle 1 \rangle \rangle \bigcirc x_j)$ . For  $1 \le i < n$ , the block  $B'_i$  is a  $\mu$ -block if i is odd, a  $\nu$ -block if i is even, and consists of the single equation  $x_i = x_{i-1}$ . The output variable is  $x_{n-1}$ . From the construction, it follows that player 1 can control a state s of G w.r.t. C iff  $(q, s) \in [\![\chi']\!]_{C \times G}$ for the unique  $q \in Q_0$  such that  $(q, s) \in S'$ . The formula  $\chi$  mimics on G the evaluation of  $\chi'$  on  $\mathcal{C} \times G$ . It contains for each variable  $x_i$  of  $\chi'$ , for  $0 \le i < n$ , the set  $\{x_i^q \mid q \in Q\}$  of variables: the value of  $x_i^q$  at s keeps track of the value of  $x_i$  at (q, s). The formula  $\chi$  is composed of n blocks  $B_0, \ldots, B_{n-1}$ . For  $0 \le i < n$ , the block  $B_i$  consists of the set  $\{e_i^q \mid q \in Q\}$  of equations. The equation  $e_i^q$  is derived by replacing in the equation of block  $B'_i$  on the l.h.s. the variable  $x_i$  with  $x_i^q$ , and by replacing on the r.h.s.  $c_j$  with true if  $\Omega(q) = j$  and false otherwise, and by replacing  $\langle 1 \rangle \rangle \bigcirc x_j$  with  $\langle 1 \rangle \rangle \bigcirc \bigvee_{r \in \Delta(q)} x_i^r$ ; the r.h.s. is then conjuncted with the



Fig. 3. Game structure on which  $OR_1$  terminates, but  $CR_1$  does not. (Player 2 has only one move enabled at each state.)

formula  $(\bigwedge_{p \in \ell(q)} p) \land (\bigwedge_{p \in P \setminus \ell(q)} \neg p)$ , which characterizes the observables of q. The block  $B_{n-1}$  contains the additional equation  $x_{out} = \bigvee_{q \in Q_0} x_{n-1}^q$ , which defines the output variable  $x_{out}$ . Then, player 1 can control a state s of G w.r.t. C iff  $s \in [\![\chi]\!]_G$ .

### **Lemma.** Each 1-G $\omega$ formula can be translated into an equivalent 1-DG $\mu$ formula.

To solve the  $\omega$ -regular control synthesis problem, assume that the semi-algorithm OR<sub>1</sub> terminates, let U be the resulting finite set of regions that define 1-trace equivalence classes for  $\mathcal{C} \times G$ , and let U' be the regions that define unions of regions from U. While computing  $\chi'$ , we can determine a *region strategy*  $\hat{f} \colon U \to U'$  for the product structure  $\mathcal{C} \times G$  following the algorithm for finite games [7, 20]. When the game is in  $\lceil \sigma \rceil$ , for a region  $\sigma \in U$ , player 1 must choose an action that forces the game into  $\lceil \hat{f}(\sigma) \rceil$ . Note that  $\lceil \sigma \rceil \subseteq \lceil CPre_1(\hat{f}(\sigma)) \rceil$  for all  $\sigma \in U$  (if  $\sigma$  cannot be controlled, set  $\hat{f}(\sigma) = True$ ). From the region strategy  $\hat{f}$ , we can obtain a memoryless strategy  $f: Q \times S \to 2^A$  for  $\mathcal{C} \times G$  by recovering which actions player 1 can choose at each state to force the game from  $\lceil \sigma \rceil$  to  $\lceil \hat{f}(\sigma) \rceil$ . To this end, we define the function  $Pre_1: R \times A \to R$  such that

$$\lceil Pre_1^a(\sigma) \rceil = \{ s \in S \mid a \in \Gamma_1(s) \land \forall b \in \Gamma_2(s). \, \delta(s, a, b) \in \lceil \sigma \rceil \}$$

for all  $a \in A$  and  $\sigma \in R$ .<sup>6</sup> For  $\sigma \in U$  and  $a \in A$ , let  $\sigma_a = Pre_1^a(\hat{f}(\sigma))$ . Then  $\lceil \sigma \rceil \subseteq \lceil \bigcup_{a \in A} \sigma_a \rceil$ , because there is always at least one controlling action. If the game is at state  $(q, s) \in \lceil \sigma \rceil$  for a region  $\sigma \in U$ , define  $f(q, s) = \{a \in A \mid (q, s) \in \lceil \sigma_a \rceil\}$ . Unlike a control strategy for  $\mathcal{C} \times G$ , a control strategy for G may need memory [6, 16]. We can construct such a strategy f' as follows. As the game goes on, the strategy f' feeds the observables of the visited states to a copy of the Rabin chain automaton  $\mathcal{C}$ , remembering the current state of the automaton. Upon reaching a state s, player 1 chooses an action in f(q, s), where q is the current state of the automaton.

**Theorem 5.** The  $\omega$ -regular control synthesis problem can be solved on all game structures that have symbolic theories and 1-trace equivalences with finite index.

Using the above construction, control strategies can be obtained symbolically. The construction uses the function  $Pre_1$  to split the regions computed by the

<sup>&</sup>lt;sup>6</sup> Note that the function  $Pre_1$  can be computed from Pre using boolean operations.

semi-algorithm  $OR_1$ . However, we do not use  $Pre_1$  to refine the region algebra into an algebra that is closed with respect to  $Pre_1$ . In fact, even if the semialgorithm  $OR_1$  terminates, a refinement based on  $Pre_1$  may not. More precisely, let  $CR_1$  be the semi-algorithm obtained from  $OR_1$  by replacing  $CPre_1$  with  $Pre_1$ . As the example of Figure 3 demonstrates, there are game structures on which  $OR_1$  terminates, but  $CR_1$  does not. The construction given above uses  $Pre_1$  only once to refine the regions returned by  $OR_1$ , thus avoiding the problem.

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